



Filing ID #10019769

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Richard Dien Winfield
Status: Congressional Candidate
State/District: GA10

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 01/25/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Georgia Optional Retirement Plan (ORP) ⇒ Fixed Account Plus		\$250,001 - \$500,000	Tax-Deferred		
Georgia Optional Retirement Plan (ORP) ⇒ Global Social Awareness Fund		\$50,001 - \$100,000	Tax-Deferred		
Georgia Optional Retirement Plan (ORP) ⇒ Internatl Equities Index Fund		\$15,001 - \$50,000	Tax-Deferred		
Georgia Optional Retirement Plan (ORP) ⇒ Mid Cap Index Fund		\$100,001 - \$250,000	Tax-Deferred		
Georgia Optional Retirement Plan (ORP) ⇒ Small Cap Index Fund		\$50,001 - \$100,000	Tax-Deferred		
Georgia Optional Retirement Plan (ORP) ⇒ Stock Index Fund		\$50,001 - \$100,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 11308 ⇒ Amazon.com, Inc. (AMZN)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Lillian Winfield DCSD IRRA FBO Richard D Winfield 11308 ⇒ Apple Inc. (AAPL)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 11308 ⇒ Bank of America, NA RASP		\$1 - \$1,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 11308 ⇒ Facebook, Inc. - Class A (FB)		\$1,001 - \$15,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 11308 ⇒ Google Inc. - Class C Capital Stock When Issued (GOOCV)		\$1,001 - \$15,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Bank of America,NA RASP		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ BB&T Corporation (BBT)		\$1,001 - \$15,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Carnival Corporation (CCL)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Cisco Systems, Inc. (CSCO)		\$1,001 - \$15,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ CME Group Inc. - Class A (CME)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Coca-Cola Company (KO)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Digital Realty Trust, Inc. (DLR)					
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Dominion Energy, Inc. (D)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Emerson Electric Company (EMR)		\$1,001 - \$15,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ General Motors Company (GM)		\$1,001 - \$15,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Intel Corporation (INTC)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ International Business Machines Corporation (IBM)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ JP Morgan Chase & Co. (JPM)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Kimberly-Clark Corporation (KMB)		\$1,001 - \$15,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Lockheed Martin Corporation (LMT)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ McDonald's Corporation (MCD)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ MetLife, Inc. (MET)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Occidental Petroleum Corporation (OXY)		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ PACCAR Inc. (PCAR)		\$1,001 - \$15,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Pfizer, Inc. (PFE)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Philip Morris International Inc (PM)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ PPL Corporation (PPL)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Procter & Gamble Company (PG)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ The Kraft Heinz Company (KHC)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Toronto Dominion Bank (TD)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ TransCanada Corporation (TRP)		\$1,001 - \$15,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ United Technologies Corporation (UTX)		\$1,001 - \$15,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒ Ventas, Inc. (VTR)		\$15,001 - \$50,000	Tax-Deferred		
Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492 ⇒		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Verizon Communications Inc. (VZ)					
Merrill Lynch CMA Account ⇒ Apple Inc. (AAPL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch CMA Account ⇒ CMA Account		\$1,001 - \$15,000	None		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 12644		\$1 - \$1,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 12644 ⇒ Nuance Communications, Inc. (NUAN)		\$1 - \$1,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ American Electric Power Company, Inc. (AEP)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Astrazeneca PLC (AZN)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ AT&T Inc. (T)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ BB&T Corporation (BBT)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Carnival Corporation (CCL)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Cisco Systems, Inc. (CSCO)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ CME Group Inc. - Class A (CME)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Coca-Cola Company (KO)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Digital Realty Trust, Inc. (DLR)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Dominion Energy, Inc. (D)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Emerson Electric Company (EMR)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ General Motors Company (GM)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Intel Corporation (INTC)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ International Business Machines Corporation (IBM)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Kimberly-Clark Corporation (KMB)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Lockheed Martin Corporation (LMT)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ McDonald's Corporation (MCD)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ MetLife, Inc. (MET)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Occidental Petroleum Corporation (OXY)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ PACCAR Inc. (PCAR)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Pfizer, Inc. (PFE)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Philip Morris International Inc (PM)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ PPL Corporation (PPL)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Procter & Gamble Company (PG)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ The Kraft Heinz Company (KHC)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Toronto Dominion Bank (TD)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ TransCanada Corporation (TRP)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ United Technologies Corporation (UTX)		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch CMA Account ⇒ Richard D Winfield		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
IRA 84009 ⇒ Ventas, Inc. (VTR)					
Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009 ⇒ Verizon Communications Inc. (VZ)		\$1,001 - \$15,000	Tax-Deferred		
Sujata Gupta Winfield, LLC	SP	\$15,001 - \$50,000	self-employed solo legal practitioner	\$1,001 - \$2,500	\$15,001 - \$50,000
DESCRIPTION: Spouse is self-employed solo lawyer					
TD Ameritrade Account ⇒ Alphabet Inc. - Class A (GOOGL)		\$1,001 - \$15,000	None		
TD Ameritrade Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)		\$1,001 - \$15,000	None		
TD Ameritrade Account ⇒ Apple Inc. (AAPL)		\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
TD Ameritrade Account ⇒ Ford Motor Company (F)		\$1,001 - \$15,000	Dividends	None	\$1 - \$200
TD Ameritrade Account ⇒ General Electric Company (GE)		\$1 - \$1,000	Dividends	None	\$1 - \$200
The University of Georgia Tax Sheltered 403(B) ⇒ Core Equity Fund		\$15,001 - \$50,000	Tax-Deferred		
The University of Georgia Tax Sheltered 403(B) ⇒ Global Social Awareness Fund		\$50,001 - \$100,000	Tax-Deferred		
The University of Georgia Tax Sheltered 403(B) ⇒ Global Strategy		\$50,001 - \$100,000	Tax-Deferred		
The University of Georgia Tax Sheltered 403(B) ⇒ Mid Cap Index Fund		\$100,001 - \$250,000	Tax-Deferred		
The University of Georgia Tax Sheltered 403(B) ⇒ Multi-Year Enhanced Fixed 7Yr		\$50,001 - \$100,000	Tax-Deferred		
The University of Georgia Tax Sheltered 403(B) ⇒ Science & Technology Fund		\$100,001 - \$250,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
The University of Georgia Tax Sheltered 403(B) ⇒ Small Cap Fund		\$100,001 - \$250,000	Tax-Deferred		
The University of Georgia Tax Sheltered 403(B) ⇒ Stock Index Fund		\$50,001 - \$100,000	Tax-Deferred		

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
University of Georgia	salary	\$.00	\$97,185.00
Spouse	Spouse salary as self-employed lawyer	N/A	N/A
Lillian Winfield DCSD IRRA 13492	IRA distribution	\$55,741.37	\$53,344.00
Lillian Winfield DCSD IRRA 11308	IRA distribution	\$.00	\$8,000.00
Tulane University	Honorarium and travel expense reimbursement for Lecture and Discussion with Students	N/A	\$2,000.00
Palgrave Macmillan Publishers	Roaylty on book	\$.00	\$815.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

◦ Georgia Optional Retirement Plan (ORP)
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- Lillian Winfield DCSD IRRA FBO Richard D Winfield 11308
- Lillian Winfield DCSD IRRA FBO Richard D Winfield 13492
- Merrill Lynch CMA Account
LOCATION: US
- Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 12644
- Merrill Lynch CMA Account ⇒ Richard D Winfield IRA 84009
- TD Ameritrade Account
LOCATION: US
- The University of Georgia Tax Sheltered 403(B)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Richard Dien Winfield , 01/25/2018